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EXPRESS MAIL NO.EK571069314US

April 26, 2000

Assistant Commissioner for Patents
Washington, DC 20231
Box Patent Application



TRANSMITTAL LETTER

Dear Sir:

Please find enclosed a patent application as follows:

Applicant(s): Emmanuel Roche, Yves Schabes

Title: SYSTEM FOR FULFILLING AN INFORMATION NEED

No. Pages Specification: 54; No. Pages Claims: 20; No. Pages of Drawings 17; No. Pages Abstract: 1;
Unexecuted Forms: Declaration 1; Appointment of Attorney: 1; Establishing Right of Assignee to Take Action
(37 CFR 3.73 (b)): 1; Verified Statement Claiming Small Entity;
Return Postcards; 1

Basic Fee:

\$380.00

Additional Fees:

Total Number of Claims in excess of 20:	141	x	9	=	\$ 1269.00
Number of independent claims minus 3 times:	27	x	39	=	\$ 1041.00
Multiple dependent claims (\$130):					\$ 130.00

Total Filing Fee

\$ 2440.00

~~Please charge Deposit Account No. 03-1721 in the amount of \$2440.00 to cover the total filing fee.
Please charge any additional fees that are required for credit any overpayments to our Deposit Account No. 03-~~

~~1721~~

If this application is found otherwise to be INCOMPLETE, or if at any time it appears that a TELEPHONE CONFERENCE with counsel would helpfully advance prosecution, please telephone the undersigned at any time.

Kindly acknowledge receipt of the foregoing application by returning the self-addressed postcards.

Respectfully submitted,

Sam Pasternack, Reg.No. 29,576

See for
✓

ATTORNEY DOCKET: 2001323-0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roche, *et al.* Examiner:
Serial No.: 09/559,223 Art Unit: 2776
Filing Date: April 26, 2000
Title: SYSTEM FOR FULFILLING AN INFORMATION NEED

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231



Sir:

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts of Application, mailed by the U.S. Patent and Trademark Office on July 19, 2000, enclosed herewith are the following

- 1) Notice to File Missing Parts (1pg);
- 2) Executed Verified Statement Declaring Small Entity Status (2pgs);
- 3) Executed Declaration (2pgs);
- 4) Executed Appointment of Attorney (1pg);
- 5) Executed Right of Assignee To Take Action (1pg);
- 6) Copy of Assignment (2 pgs);
- 7) Request for Extension of Time (1pg);
- 8) Copy of original transmittal letter that accompanied application (1 pg);
- 9) Check in the amount of \$2,936.00; and
- 10) Return postcard.

Please note that although we had initially authorized the PTO to charge to our deposit account to cover any fees, it appears that this was not done, and the filing fee was listed as a missing item on the Notice to File Missing Parts. We have accordingly enclosed a check in the amount of \$2,936.00 to cover the filing fee, claim fees, and surcharge for late oath or declaration, in view of the small entity status of the applicant.

The check covers the following items:

Basic filing fee: **\$380**

Additional claim fees:

~~09/20/2000 KZENDIE 00000001 07559223~~
~~05 FC-215 52.00 CH~~

\$1269 for 141 total claims over 20 ($\$9 \times 141 = \1269)

\$1092 for 28 independent claims over 3 ($\$39 \times 28 = \1092)

\$130 for multiple independent claims


\$65 for surcharge for late oath or declaration.

The total is $\$380 + \$1269 + \$1092 + \$130 + \$65 = \mathbf{\$2936}$.

Please note that we have calculated these fees based on the fees in force at the time the application was filed. Per instructions from an individual in the Missing Parts branch at the PTO, we have enclosed a copy of our original transmittal letter, highlighting the section in which we authorized the charge to our deposit account, to establish that we are entitled to pay according to the fees that applied on our filing date. Now that we have provided a check to cover these fees, we would appreciate your attention to making sure that we do not receive a duplicate charge to our Deposit Account for this amount.

We authorize you to charge the \$55.00 fee for the one month extension of time to our Deposit Account No. 03-1721. Please charge any other fees that may be associated with this matter, or credit any overpayments, to our Deposit Account No. 03-1721. If there are any questions associated with this matter, please do not hesitate to contact the undersigned.

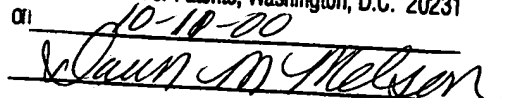
Respectfully submitted,


Monica R. Gerber, M.D., Ph.D.
Reg. No. 46,724

Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: October 10, 2000

3179396_1.DOC

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on 10-10-00



THE UNIVERSITY OF CHICAGO



Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

**Choate Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109-2891**



Date Mailed: 07/19/2000

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$4964.
 - **\$2520** for **140** total claims over 20.
 - **\$2184** for **28** independent claims over 3 .
 - **\$260** for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- **The balance due by applicant is \$ 5784.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000000	KZEEDIE	00000001	031721	09534223
00000000				355.00 00
00000000				65.00 00
00000000				1120.00 00
00000000				1269.00 00
00000000				127.00 00
00000000			8.00 CH	